



A-80 Compliance Statement Application Form

As part of our Know Your Customer (KYC) compliance process, please complete this application form with the information requested and provide the supporting documentation. The new [Corporate Transparency Act](#) came into effect as of January 2024, requiring the information and supporting documentation listed below to be submitted in compliance with the new corporate mandate.

A. Please provide the following details of the aircraft and its registration structure:			
1. Registration Mark		2. Serial Number	
3. Aircraft Year of Manufacture		4. Aircraft Model	
5. Registered Holder			
6. Owner (Bill of Sale)			
7. Operator			
8. Has the aircraft flown into or out of Russia since February 24, 2022?	Select One: Yes No		
B. Details of Aircraft Ultimate Beneficial Owner (UBO)			
<i>Ultimate Beneficial Owner of the aircraft:</i> Companies and/or Individuals owning or controlling over 25% of the Aircraft Owner Company's shares.			
<i>If multiple UBO's, please list the UBO holding the highest percentage of shares first (1a).</i>			
1a. Name			
2a. Business or Residential Address			
3a. Percentage of Ownership			
US FinCEN ID			
1b. Name			
2b. Business or Residential Address			
3b. Percentage of Ownership			
US FinCEN ID			
1c. Name			
2c. Business or Residential Address			

3c. Percentage of Ownership	
US FinCEN ID	
<p>C. Details of Responsible Party for Financial Matters</p> <p>To comply with various international due diligence and know your customer financial sanctions requirements, we require information below for the responsible party or ultimate beneficial owner (UBO) for financial matters for all initial and continuing payments made in connection to any aircraft registered P4 in Aruba.</p> <p>A sole entity must be designated as the party responsible for all financial/transaction matters. The relevant entity must be either the aircraft Operator, Owner, ultimate beneficial owner, or Registered Holder. Any other entity must be directly requested in writing and further declaration will be required.</p> <p>If funds are received from an account other than those specified below, further declaration will be required. Any changes in bank for the stated responsible entity must be provided to us in advance or such funds may be rejected.</p>	
1. Responsible Entity:	<input type="checkbox"/> Operator <input type="checkbox"/> Owner <input type="checkbox"/> Registered Holder <input type="checkbox"/> UBO Entity Name:
1.1 Bank Name	
1.2 Account Holder Name	
1.3 Source of Funds for above indicated Account	

It is The Registry of Aruba's (TROA) policy to verify the end-user and end-users for all aircraft registered in Aruba which are either manufactured in the United States or contain United States content. This is to ensure compliance with applicable United States export control laws and regulations, specifically Parts 736 and 744 of the Export Administration Regulations ("EAR"), as well as with the laws and regulations of the selling country and any embargos in place by the United Nations or the country of manufacture of the aircraft, unless authorized by the U.S. Government or the country of manufacturer.

Considering the aircraft you are registering with the Department of Civil Aviation of Aruba is either manufactured in the United States or contains United States content, and will be exported and used outside of the United States and/or the selling country, please confirm the following:

- I, the undersigned Ultimate Beneficial Owner (to be referred to as "UBO" for the remainder of this document), understand the aircraft(s) being registered is/are governed by the EAR administered by the U.S. Department of Commerce ("Commerce"), by the U.S. Foreign Assets Control Regulations ("OFAC") administered by the U.S. Department of Treasury ("Treasury"), and by the International Traffic in Arms Regulations ("ITAR") administered by the U.S. Department of State ("State").
- I, the UBO, have read, understood and/or sought the advice of knowledgeable counsel regarding the meaning of "Aircraft contemporary sojourn" as defined in 15 CFR 740.15 and will ensure all aircraft operations are consistent with the applicable requirements.
- The obligations and requirements described in this Statement of Compliance shall survive the expiration or termination of any agreement or contract between the companies including all subsidiaries and affiliated companies above mentioned in section A items 5-7 and applies to the UBO.
- I, the UBO, further confirm compliance with the International Money Laundering Abatement and An-terrorist Act, its regulations, and guidelines.
- I, the UBO, hereby declare the listed UBO(s) as of today is/are not included in any list of individuals designated for the purpose of trade and/or financial sanctions and/or embargoes imposed by: (i) the laws of Aruba (ii) the laws of the European Union; and/or (iii) the laws of the United States of America; and/or (iv) the Security Council of the United Nations.
- I here by the UBO, attest that the aircraft, its engines or any part thereof, described herein this form (Type, Model and SN) will not be sold, traded, transferred, gifted, donated, etc., to any country in contravention of any applicable sanctions

in place by the USA, UK, EU, UN, Switzerland, Canada, Australia and any other country as applicable. The UBO accepts sole and full responsibility for this declaration and further confirms that he is acting truthfully and in good faith, and that the indicated aircraft listed above in section A will not be transferred to any sanctioned individual or country.

The information provided herein is private and confidential and for the sole use of The Registry of Aruba, the Department of Civil Aviation of Aruba, and other governmental agencies. It shall not in any way be disclosed or made public to any unauthorized third party without the express written consent of the undersigned, unless requested by the law.

The undersigned UBO further acknowledges of the above stated aircraft and to the best of his/her knowledge the undersigned or the company he represents is not listed in U.S. Treasury Special Designated Nationals list or any other list of blocked individuals or companies, furthermore, confirms (s)he is the main client of The Registry of Aruba (TROA) for as long as (s)he remains as the UBO of the aircraft while registered under the Department of Civil Aviation of Aruba.

I certify, the UBO, or holder of a power of attorney granting privileges to, sign this Statement of Compliance. I understand any changes or updates relevant to the UBO will be promptly communicated to the TROA on behalf of the Company.

Name: _____ Signature: _____

Date: _____ Position: _____

Instructions for the Completion of this Application

1. This declaration must be signed by the aircraft ultimate beneficial owner (UBO), or an individual holder of a power of attorney authorizing his/her to sign on their behalf.
2. The US FinCEN ID is required for our due diligence on the ultimate ownership pursuant to the US Corporate Transparency Act. The FinCEN ID can be obtained online at: <https://fincenid.fincen.gov/landing>
3. This application form must be submitted along with the following supporting documents:
 - (a) UBO(s) passport copy including the page with the individual’s signature.
 - (b) UBO proof/verification of residential address. Ex. *a public service/utility bill, official government ID with address etc. not older than six (6) months.*
4. The public traded company CEO, president, or a duly authorized director must submit a copy of the following supporting documents:
 - (a) Passport
 - (b) Declaration Statement for position withing the company

All documents must be in English language, and not older than 6 months.

<u>For TROA use only</u>	
Compliance Officer:	
Date Completed:	
Signature:	
Remarks:	